

Form CRS Customer Relationship Summary

Legion Global Capital Management LLC

February 22, 2022

Introduction	Legion Global Capital Management LLC (“the Firm”) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS , which also provides educational materials about broker-dealers, investment advisers, and investing.
What investment services and advice can you provide me?	<p>We offer investment advisory services to retail investors via two strategies, our Platinum and Gold strategies. We do not currently have account minimums. Our advisory services are offered as a wrap fee program. We employ sophisticated algorithms to continually monitor a wide range of institutional data sources to identify systematic market opportunities and to make trading decisions in fractions of a second. These algorithms are developed, overseen, and monitored by our investment advisory personnel. When clients sign up for a Legion account, an algorithm, developed by our investment advisory personnel, determine our recommended strategies based on inputs to our initial client questionnaire completed by the client at onboarding.</p> <p>We accept discretionary authority over client assets, subject to certain limitations such as investment mandates, required minimum distributions, and other required events. While we do not accept custody of client assets, we work with a qualified custodian.</p> <p>For additional information, please see Form ADV, Part 2A wrap brochure (Item 4)</p> <p>Conversation Starters. Questions to ask your Adviser:</p> <ul style="list-style-type: none">- Given my financial situation, should I choose to work with an investment adviser? Why or why not?- How will you choose investments to recommend to me?- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?
What fees will I pay?	We have two tiers of advisory fees. For clients with less than \$5,000 in net deposits, Legion charges a wrap fee of \$5 per month. Client with greater than \$5,000 in net deposits, Legion charges a wrap fee based on assets under management invested in our investment strategies. Advisory fees for our Platinum Strategy is 2% of assets under management. The fee for our Gold Strategy is 1% of assets under management. The Firm sponsors wrap-fee programs. Asset-based fees associated with the wrap fee program will include most transaction costs. Additionally, such asset-based fees will include those paid to a custodial bank, and therefore are higher than a typical asset-based advisory fee. The more assets there are in an account with asset-based fees, the more an investor will pay in fees, therefore, the Firm may have an incentive to encourage the investor to increase assets in the investor’s account.

	<p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</p> <p>For additional information, please see our Form ADV Part 2A wrap brochure. Conversation Starters. Questions to ask your Adviser: -Help me understand how these fees and costs might affect my investments. - If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>
<p>What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?</p>	<p>When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendation(s) we provide you.</p> <p>Under our fee model we charge asset-based fees when your net deposits exceed \$5,000 which creates a conflict of interest. For example, the more assets we manage over \$5,000 the higher the dollar amount a client will pay in management fees. Therefore, we have a financial incentive to encourage our clients to increase the assets in their account.</p> <p>Questions to ask your Adviser: How might your conflicts of interest affect me, and how will you address them? For additional information, please see our Form ADV, Part 2A, Item 4.</p>
<p>How do your financial professionals make money?</p>	<p>Individuals who are associated with us are compensated primarily by a base salary. They are also able to receive additional cash incentive compensation. Payment of incentive compensation creates a conflict of interest for the Firm.</p>
<p>Do you or your financial professionals have legal or disciplinary history?</p>	<p>No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</p> <p>Conversation Starter. Questions to ask your Adviser: As a financial professional, do you have any disciplinary history? For what type of conduct?</p>
<p>Additional Information</p>	<p>For additional information about our services, visit our website www.legioninvest.com, or contact our Chief Compliance Officer at (650) 332-4523 or at support@legioninvest.com. If you would like additional, up-to-date information or a copy of this disclosure, please call (650) 332-4523.</p> <p>Conversation Starter. Questions to Ask your Adviser:</p> <ul style="list-style-type: none"> - Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? - Who can I talk to if I have concerns about how this person is treating me and my investments?